

Operation Procedures for the Handling of Industrial Property Rights

IPR Committee

Established and approved by IPR Committee on May 27, 2002

Most recently revised and approved by IPR Committee on September 4, 2017

Note: This document is the English translation from the Japanese original and provided solely for reference. In case of any discrepancy between the Japanese original and this English translation, the Japanese original shall prevail.

These Operation Procedures stipulate detailed rules to apply "the Policy for the Handling of Industrial Property Rights" (hereinafter referred to as "the Policy").

- 1 Investigation of Industrial Property Rights Owned by TTC Members and Request of Submission of IPR Licensing Statement
 - 1.1 During the Development of TTC Standards/Technical Specifications

In a Working Group (hereinafter referred to as "WG") in charge of the development of draft(s) of TTC Standard(s) and/or TTC Technical Specification(s) (hereinafter referred to as "TTC Std(s)/Spec(s)"), the chairperson of the WG shall ask the WG members to investigate whether they believe to hold any industrial property right(s) (hereinafter referred to as "IPR(s)") essential to the draft of the TTC Std/Spec. If any member believes to hold such IPR(s), the chairperson of the WG shall ask the member to submit "IPR Licensing Statement(s)" (hereinafter referred to as "Statement(s)", whose form is stipulated in the Annex) for the IPR(s) to the president of TTC (hereinafter referred to as "the President") as promptly as possible.
 - 1.2 At the Final Stage of Establishment of New TTC Std or Revision Thereof (Hereinafter Referred to as "Establishment or Revision") In announcing a draft of a TTC Std prior to Technical Assembly (hereinafter referred to as "TA"), the TTC secretariat shall ask all the TTC members to investigate whether they believe to hold any IPR(s) essential to the draft of that TTC Std. If any member believes to hold such IPR(s), the secretariat shall also ask the member to submit Statement(s) to the President within three weeks from the announcement.
 - 1.3 At the Earliest Reporting to the TA Members after the Establishment or Revision of TTC Technical Specification(s) At the earliest reporting to the TA members after the establishment or revision of TTC Technical Specification(s), the secretariat shall ask all the TTC members to investigate whether they believe to hold any IPR(s) essential to the TTC Technical Specification(s). If any member believes to hold such IPR(s), the secretariat shall also ask the member to submit Statement(s) to the President within three weeks from the reporting.
- 2 Handling of Statement(s) Submitted by TTC Member(s)

- 2.1 Statement(s) Submitted during Development of TTC Std/Spec
 - (1) The secretariat shall copy and pass a set of all the relevant Statement(s) to the WG in charge.
 - (2) The WG shall deliberate the contents of the Statement(s). If the WG finds the contents of the Statement(s) do not meet the requirements of the Clause 1 of the Policy, the WG shall modify or withdraw the draft of the TTC Std/Spec.
- 2.2 Statement(s) Submitted at the Final Stage of Establishment or Revision of TTC Standard
 - (1) The secretariat shall copy and pass a set of all the relevant Statement(s) to the WG in charge.
 - (2) The WG shall deliberate the contents of the Statement(s) and;
 - a) bring up the draft of the TTC standard for deliberation at the TA meeting if the WG finds the contents of the Statement(s) meet the requirements of the Clause 1 of the Policy, or
 - b) withdraw the draft of the TTC standard and inform the TA members accordingly if the WG finds the contents of the Statement(s) do not meet the requirements of the Clause 1 of the Policy.
- 2.3 Statement(s) Submitted at the Earliest Reporting to the TA Members after Establishment or Revision of TTC Technical Specification
 - (1) The secretariat shall copy and pass a set of all the relevant Statement(s) to the WG in charge.
 - (2) The WG shall deliberate the contents of the Statement(s) submitted. If the WG finds the contents of the Statement(s) do not meet the requirements of the Clause 1 of the Policy, the WG shall withdraw the TTC Technical Specification and inform the TA members accordingly.
- 3 Handling in Case of No Statement is Submitted by TTC Member(s)
 - 3.1 Exemption from Responsibility In accordance with the provisions of the Clause 2 of the Policy, the TTC shall not be responsible to anyone for any problems that may arise from the fact that TTC member does/did not submit Statement.
 - 3.2 In Case IPR(s) Held by TTC Member(s) is/are Found after Establishment or Revision of TTC Std/Spec
 - (1) If it is found by a notification from a member or non-member that a TTC member seems to hold IPR(s) related to a TTC Std/Spec after establishment or revision of that TTC Std/Spec, the secretariat shall ask the member to submit Statement(s).
 - (2) The secretariat shall copy and pass a set of all the relevant Statement(s) to the WG in charge.
 - (3) The WG shall deliberate the contents of the Statement(s). If the WG finds the contents of the Statement(s) do not meet the requirements of the Clause 1 of the Policy, the WG may deliberate to modify or withdraw the TTC Std/Spec.
- 4 Changes to the Contents of Statement(s) Submitted by TTC Member(s)
 - 4.1 Change of the Condition When a TTC member wants to change the condition stated in the Clause 3 of its Statement, the TTC member shall submit a new Statement to the President. However, it shall not be allowed to change the condition in the Clause 3 of its Statement from (1) to (2) or (3) or from (2) to (3).
 - 4.2 Change of the Ownership

- (1) The Statement(s) shall bind all the successors of the transferred IPR(s).
- (2) The holder or the successor of the IPR(s) shall include a clause indicating that the Statement(s) is/are binding all IPR(s) successors in the transfer agreement for the IPR(s).

5 IPR(s) Held by Non-Member(s)

5.1 IPR(s) Found before Establishment or Revision of TTC Std/Spec While developing a draft of a TTC Std/Spec, the WG in charge should investigate, within the practical scope, whether any related IPR(s) owned by TTC non-member(s) exist(s). If such IPR(s) is/are found, the following actions shall be taken.

- (1) The secretariat shall present the Policy and the Operation Procedures to the non-member(s) and ask to submit Statement(s).
- (2) The secretariat shall copy and pass a set of all the relevant Statement(s) to the WG.
- (3) The WG shall deliberate the Statement(s). If the WG finds the contents of the Statement(s) do not meet the requirements of the Clause 1 of the Policy, the WG shall modify or withdraw the draft of the TTC Std/Spec.

5.2 IPR(s) Found after Establishment or Revision of TTC Std/Spec Based on a notification from a member or non-member, the WG in charge may deliberate to modify or withdraw the TTC Std/Spec related to IPR(s) owned by non-member(s).

5.3 Handling in Case of No Statement is Submitted by TTC Non-member(s) This clause is pursuant to the Clause 3.1 above.

5.4 Change(s) to the Contents of Statement(s) Submitted by TTC Non-member(s) This clause is pursuant to the Clause 4 above.

6 Archiving and Disclosure of Statement(s) The TTC secretariat shall archive Statement(s) and shall disclose the contents of Statement(s) to the public.

7 Annotation to TTC Std(s)/Spec(s) The TTC puts the annotation into all TTC Std(s)/Spec(s) that "the reader should refer to the list of Statement(s) disclosed by the TTC".

Supplementary Provision: (Established and approved by the IPR Committee on May 27, 2002.)

Supplementary Provision: (Revised and approved by the IPR Committee on December 10, 2002.)

Supplementary Provision: (Revised and approved by the IPR Committee on April 17, 2003.)

Supplementary Provision: (Revised and approved by the IPR Committee on February 14, 2006.)

This revision shall take effect from March 23, 2006.

Supplementary Provision: (Revised and approved by the IPR Committee on October 15, 2007.)

This revision shall take effect from December 1, 2007.

Supplementary Provision: (Revised and approved by the IPR Committee on September 4, 2017.)

This revision shall take effect from September 4, 2017.

IPR Licensing Statement

Mr.

President of the Telecommunication Technology Committee

Date of submission:

Submitted by: (Name, Department, Corporation, Seal or Signature)

Regarding the industrial property right(s) (the term "industrial property right(s)" herein includes patent(s), utility model right(s), and design right(s), regardless of whether they are pending or issued; hereinafter referred to as "IPR(s)") concerned with the proposed TTC Standard or TTC Technical Specification, I hereby submit this Statement in accordance with "the Policy for the Handling of Industrial Property Rights".

Description

- 1 Number and title of the corresponding TTC Standard or TTC Technical Specification (hereinafter referred to as "TTC Std/Spec") (including the draft)
- 2 Name(s) of the applicant of the IPR(s) and the IPR Holder
- 3 Terms and conditions under which license(s) can be granted for the IPR(s) possessed by the IPR Holder above that is/are essential for implementing a part or all of the contents of the above TTC Std/Spec
(Note 1) Essential in the above refers to IPR(s) for which the IPR Holder believes it clear that it is technically unavoidable to infringe on the IPR(s) or that it is technically avoidable but the choice for that purpose cannot practically be made in terms of cost, performance, etc. when a part or all of the contents of the TTC Std(s)/Spec(s) is implemented in Japan.
(Note 2) Select only one from among (1), (2), and (3) below and put a check mark in the box () provided at the left most position.
Note 3) In the case that the IPR Holder makes different choices on the conditions in the terms of the license for one part and another part by specifying a part (for example, claim clause) of IPR(s), multiple statements shall be submitted for each condition.

(1) Grant license(s) of the IPR(s) free of charge to all implementers of the above TTC Std/Spec to the

extent that the TTC Standard or TTC Technical Specification is implemented.

(2) Grant license(s) of the IPR(s) with reasonable terms and conditions and on non-discriminatory basis to all implementers of the above TTC Std/Spec to the extent that the TTC Std/Spec is implemented.

(Note 4) However, if another person, who possesses an IPR(s) essential for implementing a part or all of the contents of that TTC Std/Spec and who implements that TTC Std/Spec, claims its IPR licensing condition to the IPR Holder which is not equivalent to the one that the IPR Holder in the Clause 2 above has selected, the IPR Holder may exclude that person from the scope of either (1) or (2) that the IPR Holder has selected.

(3) Select neither (1) nor (2) above.

4 IPR(s) in Question

4.1 If (1) or (2) above is selected in the Clause 3 above

The IPR(s) in questions is/are as follows.

(Note 4) If not specified in the table below, all IPRs, possessed by the IPR Holder stated in the Clause 2 above and are essential for implementing a part or all of the contents of the TTC Std/Spec, are assumed to be subject to licensing under the condition selected in the Clause 3 above.

Application No. (Application date)	Publication No.	Registration No.	Title of the Invention

4.2 In case condition (3) is selected in the Clause 3

Items (as in the Note 5 below) of information related to the IPR(s) in question are attached.

(Note 5) The following three items of information shall be provided in an arbitrary form together with this statement:

- IPR application number (application date), publication number, registration number, title of the invention
- Part of the TTC Std/Spec that involves the IPR(s)
- Claim(s) of the IPR(s) concerned with the above TTC Std/Spec

End of Annex